SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	OMB Number: 3235-0287						
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	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Barnard Stephen J		erson*	2. Issuer Name and Ticker or Trading Symbol Mission Produce, Inc. [AVO]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
				X	Director	10% Owner		
(Last) (First) (Middle) C/O MISSION PRODUCE, INC.		(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	- x	Officer (give title below)	Other (specify below)		
		. ,	03/30/2021		PRESIDENT AND CEO			
2710 CAMINO	D DEL SOL							
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indi Line)	6. Individual or Joint/Group Filing (Check Applicable Line)			
OXNARD	CA	93030		X	Form filed by One Re	porting Person		
					Form filed by More than One Reporting			
(City)	(State)	(Zip)			Person			

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (i 8)		Disposed Of	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	Code V Amount		(A) or (D) Price		Reported Transaction(s) (Instr. 3 and 4)		
COMMON STOCK	03/30/2021		Р		11,200	A	\$17.6 ⁽¹⁾	11,200	I ⁽²⁾	Barnard Family Trust
COMMON STOCK								2,009,556	I ⁽²⁾	STEPHEN J. BARNARD GT TRUST
COMMON STOCK								1,115,808	I(3)	BARNARD PROPERTIES, LLC
COMMON STOCK								2,009,556	I (2)	SHELLY R. BARNARD GT TRUST

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and 8. Price of 9. Number of 11. Nature 10. Derivative Conversion Date Execution Date Transaction of Expiration Date Amount of Derivative derivative Ownership of Indirect Form: Direct (D) or Exercise (Month/Day/Year) if any Derivative (Month/Day/Year) Securities Security Code (Instr. Security Securities Beneficial Price of Derivative Security (Instr. 3) (Month/Dav/Year) 8) Beneficially Securities Underlying (Instr. 5) Ownership Acquired (A) or Derivativ Owned Following or Indirect (I) (Instr. 4) (Instr. 4) Security (Instr. Reported Transaction(s) (Instr. 4) 3 and 4) Disposed of (D) (Instr. 3, 4 and 5) Amount or

Date

Exercisable

Expiration Date

Explanation of Responses:

1. The price reported is the average weighted price. The shares were sold in multiple transactions at prices ranging from \$17.30 to \$17.80, inclusive. The reporting person undertakes to provide to the SEC, the Issuer and any security holder, the full information regarding the number of shares and the prices at which the shares were sold.

2. Mr. Barnard is a trustee of these trusts and family members are beneficiaries. Mr. Barnard disclaims beneficial ownership of these shares, except to the extent of his pecuniary interest.

(A) (D)

3. Mr. Barnard disclaims beneficial ownership of these shares, except to the extent of his pecuniary interest.

Remarks:

/s/ Jeremy B. Warren,

Number

Shares

of

Title

Attorney-in-Fact for Stephen 03/30/2021 J. Barnard

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Code V

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.